

STATE OF CALIFORNIA FAIR POLITICAL PRACTICES COMMISSION 1102 Q Street • Suite 3000 • Sacramento, CA 95811

November 3, 2021

Lea Wolf Vick Soffer, Treasurer Friends of Lea Wolf for School Board 2021

Also sent via email at: <a href="mailto:empower@vote4lea.org">empower@vote4lea.org</a> and

## Warning Letter Re: FPPC No. 2021-01008; Friends of Lea Wolf for School Board 2021, Lea Wolf, and Vick Soffer

Dear Lea Wolf, Vick Soffer, and Committee:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the "Act").<sup>1</sup> This letter is in response to a referral from your filing officer, the County of San Diego, that alleged you and Friends of Lea Wolf for School Board 2021 (the "Committee") failed to timely file a pre-election campaign statement in connection with your candidacy on the November 2, 2021 ballot.

The Enforcement Division has completed its review of the facts in this case. Specifically, we found that you and the Committee failed to timely file a pre-election campaign statement for the reporting period of January 1, 2021 through September 18, 2021 by the September 23, 2021 deadline.

The Act requires candidate-controlled committees to file two pre-election campaign statements prior to the election in which the candidate is listed on the ballot.<sup>2</sup> For the period ending 45 days before the election, the statement shall be filed no later than 40 days before the election.<sup>3</sup> For the period ending 17 days before the election, the statement shall be filed no later than 12 days before the election.<sup>4</sup>

<sup>&</sup>lt;sup>1</sup> The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

<sup>&</sup>lt;sup>2</sup> Section 84200.5.

<sup>&</sup>lt;sup>3</sup> Section 84200.8, subd. (a).

<sup>&</sup>lt;sup>4</sup> Section 84200.8, subd. (b).

You and the Committee violated the Act by failing to timely file a pre-election campaign statement for the reporting period of January 1, 2021 through September 18, 2021 by the September 23, 2021 due date. However, mitigating factors exist such that the Enforcement Division is closing your case with this warning letter rather than issuing a fine. First, you and the Committee filed the outstanding campaign statement soon after being contacted by the Enforcement Division and in advance of the November 2, 2021 election. Additionally, the campaign statement had no reportable activity to disclose for the subject reporting period. Therefore, at the time of the first pre-election filing, the Committee did not qualify as a recipient committee. Also, you and the Committee timely submitted the second pre-election campaign statement to your filing officer. Lastly, neither you nor the Committee have a prior history of violating the Act.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you need forms or a manual, or guidance regarding your obligations, please call the Commission's Toll-Free Advice Line at 1-866-275-3772 or visit our website at <u>www.fppc.ca.gov</u>.

Please feel free to contact Chloe Hackert at (916) 322-8190 or chackert@fppc.ca.gov with any questions you may have regarding this letter.

Sincerely,

Angela J. Brereton

Angela J. Brereton, Chief Enforcement Division

AJB/ ch

cc: County of San Diego