



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3000 • Sacramento, CA 95811

January 27, 2022

Councilperson Isaac Galvan
Galvan for Senate 2016
igalvan@comptoncity.org

Warning Letter re: FPPC Case No. 17/719; Galvan for Senate 2016; Isaac Galvan

Dear Mr. Galvan:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act.¹ This case pertains to multiple referrals that we received from the California Secretary of State for failure to file the paper versions of required semi-annual campaign statements with respect to your controlled committee, Galvan for Senate 2016. (In addition to being the controlling candidate, you were the committee treasurer.) Please be advised that we are closing this case with this warning letter, as discussed below.

At the core of the Act's campaign reporting system is the requirement that candidates and their controlled committees must file campaign statements and reports for certain reporting periods and by certain deadlines. For example, a candidate and his controlled committee must file two semi-annual campaign statements each year. Generally, the first is for the reporting period of January 1 through June 30—and this statement must be filed by the deadline of July 31. The second is for the reporting period of July 1 through December 31—and this statement must be filed by January 31. (See Section 84200.)

With respect to a candidate for the California Legislature, one copy of each statement must be filed with the elections official of the candidate's county of domicile—and the original paper version of each statement must be filed with the California Secretary of State. (Section 84215.) Once the candidate has received contributions totaling \$25,000 or more—or made expenditures totaling \$25,000 or more—each statement must be filed by online or electronic means with the Secretary of State, in addition to the noted paper filing requirements. (Sections 84215 and 84605.) After the Secretary of State's online and electronic disclosure systems are finalized, online/electronic filers will no longer be required to file a paper copy or with local filing officers—but this has not happened yet. (Section 84606.)

In this case, for the semi-annual reporting period ending December 31, 2016, the required paper version of the campaign statement was filed about a year-and-a-half late with the Secretary of State. Also, for all of the semi-annual reporting periods in calendar years 2017 and 2018, the paper versions of the required campaign statements were not filed with the Secretary of State. In this way, you and your committee violated Section 84200.

However, electronic versions of the statements were timely filed, with the exception of the period ending December 31, 2017—for which the e-filing was a few days late. Also, you were unsuccessful in the primary election; the campaign statements in question were not required to be

¹ The Political Reform Act—sometimes simply referred to as the Act—is contained in Government Code sections 81000 through 91014. All statutory references are to these code sections.

filed until after the election; they involved a small amount of reportable activity; and your committee has been terminated.

We are closing this matter without further action. This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you need guidance regarding your obligations in the future, please visit our website at www.fppc.ca.gov/advice.html or call the Commission's Toll-Free Advice Line at 1-866-ASK-FPPC (1-866-275-3772).

Thank you for your attention to this matter. Please do not hesitate to call if you have any questions.

Very truly yours,

Neal P. Bucknell

Neal P. Bucknell
Senior Commission Counsel
Enforcement Division
nbucknell@fppc.ca.gov
(916) 323-6424