



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3000 • Sacramento, CA 95811

December 8, 2022

Larry Applebaum for School Board 2022
Larry Applebaum, Candidate
Lauren Applebaum, Treasurer
[REDACTED]

Warning Letter re: FPPC No. 2022-01000; Larry Applebaum for School Board 2022, Larry Applebaum, Lauren Applebaum

Dear Committee, Larry Applebaum, and Lauren Applebaum:

The Enforcement Division of the Fair Political Practices Commission (“FPPC”) enforces the provisions of the Political Reform Act (the “Act”).¹ As you are aware, in response to the Enforcement Division’s pre-election outreach program, we were made aware by the Los Angeles County Registrar-Recorder/County Clerk’s office that you failed to timely file a pre-election campaign statement in connection with the November 8, 2022 election. The Enforcement Division has completed its review of the facts in this case. Specifically, we found that you failed to timely file the first and second pre-election campaign statements (Form 460s) and one 24-Hour Contribution Report (Form 497).

The Act requires candidate-controlled committees to file two pre-election campaign statements at specified times prior to the election in which the candidate will be on the ballot, as well as semi-annual campaign statements each year no later than July 31 for the period ending June 30, and no later than January 31 for the period ending December 31.² The obligation to file semi-annual campaign statements continues until the candidate or treasurer terminates the committee. Controlling candidates and committee treasurers are jointly and severally liable for violations by the committee.³

The Act also requires candidate-controlled committees that receive a contribution(s) totaling \$1,000 or more from a single source within 90 days before the election, or on the date of the election, to file a 24-Hour Contribution Report (Form 497) within 24 hours of receiving the contribution.⁴

Your actions violated the Act because you failed to timely file the first pre-election campaign statement for the period covering July 1, 2022 through September 24, 2022 by the September 29, 2022 deadline, and the second pre-election campaign statement covering September 25, 2022 through October 22, 2022 by the October 27, 2022 deadline. Additionally, you violated the Act by failing to timely file one 24-Hour Contribution Report within 24 hours of receiving a contribution totaling \$1,000 or more on September 28, 2022. But, for the following reasons, the Enforcement Division has decided to close this case with this warning letter rather than a fine: you were referred as part of the

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Sections 84200.5 and 84200.

³ Sections 83116.5 and 91006.

⁴ Sections 82036 and 84203.

proactive pre-election program; you filed the pre-election campaign statements soon after you were contacted by the Enforcement Division; you filed the missing statements before the election; you were an unsuccessful candidate; you filed the 24-hour Contribution Report on the date of the election; and you have no history of violating the Act. Please note that while the Enforcement Division is closing this matter without a fine, your filing officer may assess late filing penalties.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you have any questions regarding this letter, please contact Amber Rodriguez at arodriguez@fppc.ca.gov or (916) 322-1198.

Sincerely,

Angela J. Brereton

Angela J. Brereton, Chief
Enforcement Division

AJB:ar