



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3050, Sacramento, CA 95811

August 17, 2023

Allison Joe
[REDACTED]

Warning Letter: Case No. 2023-00565; Allison Joe

Dear Allison Joe:

The Enforcement Division of the Fair Political Practices Commission (“FPPC”) enforces the provisions of the Political Reform Act (the “Act”).¹ This letter is in response to a self-reported matter reported to the Enforcement Division, where you explained that you failed to timely file a Form 501 (Candidate Intention Statement) in connection with your candidacy for the Fremont Union High School District in the upcoming 2024 election. The Enforcement Division has completed its review of the facts in this case and found that you failed to timely file a Form 501.

Under the Act, a candidate for local office must file a Form 501 for each election prior to soliciting or receiving any contributions or making expenditures from personal funds on behalf of their candidacy.² An individual who intends to run for local office must file the Form 501 with the same filing officer as they would file their original campaign statements.³

You violated the Act by failing to file Form 501 prior to making expenditures on behalf of your candidacy. However, for the following reasons, the Enforcement Division has decided to close your case with this warning letter rather than a fine: you self-reported this violation to Enforcement; filed your Form 501 immediately after you discovered the error and without Enforcement intervention; the expenditures made on behalf of your candidacy prior to filing Form 501 were minimal; and you have no prior Enforcement history.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source

² Section 85200.

³ *Ibid.*

ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter. If you have questions, please contact Ginny Brown at gbrown@fppc.ca.gov.

Sincerely,

Christopher B. Burton

Christopher B. Burton, Acting Enforcement Chief
Enforcement Division

CBB:gab