



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

June 6, 2023

Sent Via Email: allen.ruby@skadden.com
Allen Ruby
Skadden Arps Slate Meagher & Flom LLP
O/B/O Laurie Smith

RE: Closure Letter FPPC Case No. 2021-00041; In the Matter of Laurie Smith

Dear Allen Ruby:

The Enforcement Division of the California Fair Political Practices Commission (“Commission”) enforces the provisions of the Political Reform Act (the “Act”).¹ The Enforcement Division of the Commission opened an investigation regarding the above referenced matter concerning potential violations of the Act’s disclosure provisions. After consideration of the adjudicated civil proceedings in Santa Clara County Superior Court, including the underlying conduct for violations related to the Act, we have decided to close our file without prejudice at this time. The related judgment is included as Exhibit A to this letter.

Should you have any questions regarding this letter, please feel free to contact me at (916) 324-8787 or bcastillo@fppc.ca.gov.

Sincerely,

Bridgette Castillo

Bridgette Castillo
Senior Commission Counsel
Enforcement Division

Attachment: Exhibit A

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in sections 18109 through 18997 of Title 2 of the California Code of Regulations.

BROOKE JENKINS (SBN 276290)
District Attorney of San Francisco
EVAN ACKIRON (SBN 164628)
Assistant Chief District Attorney
GABRIEL MARKOFF (SBN 291656)
Assistant District Attorney
White Collar Crime Division
350 Rhode Island Street, Suite 400N
San Francisco, California 94103
Telephone: (628) 652-4240
Email: gabriel.markoff@sfgov.org
Attorneys for the People

FILED

DEC 06 2022

Clerk of the Court
Superior Court of the County of Santa Clara
BY  DEPUTY
Theoharis

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
IN AND FOR THE COUNTY OF SANTA CLARA**

PEOPLE OF THE STATE OF CALIFORNIA,

Case No. 21-CV-391905

Plaintiff,

v.

**JUDGMENT OF REMOVAL
(Gov. Code, § 3072)**

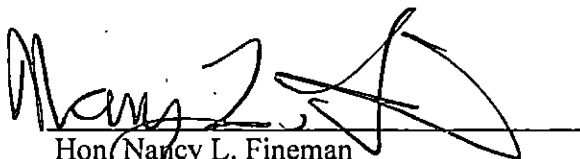
LAURIE SMITH,

Defendant.

The defendant, **LAURIE SMITH**, having been convicted by jury on November 3, 2022 of violating Government Code section 3060, upon verdicts of guilty that she committed willful or corrupt misconduct in office by abusing the discretion granted to her by Penal Code section 26150; violating Penal Code section 26205; violating Government Code sections 89503 and 91000; violating the County of Santa Clara Conflict of Interest Code and Government Code sections 87300 and 91000; and by committing perjury in violation of Penal Code section 118; and upon a verdict of guilty that she committed willful misconduct in office by violating the County of Santa Clara Ordinance Code Title A, Division A20, section A20-64, copies of all verdicts being attached hereto, and the judgment having been entered upon the minutes;

IT IS HEREBY ADJUDGED AND ORDERED that the defendant, **LAURIE SMITH**, is forthwith removed from the Office of Sheriff of the County of Santa Clara, California, pursuant to Government Code section 3072.

Dated: November 29, 2022


Hon. Nancy L. Fineman
Judge of the Superior Court

FILED

SUPERIOR COURT OF THE STATE OF CALIFORNIA

NOV - 3 2022

IN AND FOR THE COUNTY OF SANTA CLARA

PEOPLE OF THE STATE OF CALIFORNIA,

Case No. 21-CV-391905

Clerk of the Court
Superior Court of CA County of Santa Clara

Plaintiff,

Felicia Samoy
DEPUTY

v.

VERDICT: COUNT ONE

LAURIE SMITH,

Defendant.

COUNT ONE

We, the jury in the above-entitled cause, hereby find the Defendant, Laurie Smith,
guilty (write either "guilty" or "not guilty") of committing willful
or corrupt misconduct in office on and between December 16, 2015 and November 30, 2021, both dates
inclusive, by abusing the discretion granted to her by Penal Code section 26150, to wit: implementing a
policy or practice of granting Licenses to Carry a Pistol, Revolver, or Other Firearm Capable of Being
Concealed Upon the Person ("CCWs" or "CCW Licenses") on the basis of whether a given applicant
was a campaign donor, a member of the Sheriff's Advisory Board nonprofit organization, a prominent
individual in the community or was associated with prominent individuals or corporations, or otherwise
had a personal connection to LAURIE SMITH (collectively, "VIPs").

DATED: 11/3/22

By:

Jun #
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Redacted

FILED

SUPERIOR COURT OF THE STATE OF CALIFORNIA NOV - 3 2022

IN AND FOR THE COUNTY OF SANTA CLARA

Clerk of the Court
Superior Court of CA County of Santa Clara
BY Felicia Samoy DEPUTY

PEOPLE OF THE STATE OF CALIFORNIA,

Case No. 21-CV-391905

Plaintiff,

v.

VERDICT: COUNT TWO

LAURIE SMITH,

Defendant.

COUNT TWO

We, the jury in the above-entitled cause, hereby find the Defendant, Laurie Smith,
guilty (write either "guilty" or "not guilty") of committing willful
or corrupt misconduct in office on and between December 16, 2015 and November 30, 2021, both dates
inclusive, by violating Penal Code section 26205, to wit: failing to provide notice by the statutorily-
prescribed deadlines to CCW applicants who were not VIPs as to whether their applications for CCW
Licenses were approved or denied, including but not limited to by keeping applications pending
indefinitely without the fingerprints of each application being taken and forwarded to the Department
of Justice for a criminal background check.

DATED: 10-31-22

By:

Jimm #
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Redacted

FILED

NOV - 3 2022

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SANTA CLARA

PEOPLE OF THE STATE OF CALIFORNIA,

Plaintiff,

v.

LAURIE SMITH,

Defendant.

Case No. 21-CV-391905

CLERK of the Court
Superior Court of CA County of Santa Clara
FELICIA SAMOY
DEPUTY

VERDICT: COUNT THREE

COUNT THREE

We, the jury in the above-entitled cause, hereby find the Defendant, Laurie Smith,
guilty (write either "guilty" or "not guilty") of committing willful
or corrupt misconduct in office on and between January 3, 2019 and February 14, 2019, both dates
inclusive, by violating Government Code sections 89503 and 91000, to wit: accepting from Harpreet
Chadha the use of Expert Quote Inc.'s suite at the SAP Center for a February 14, 2019 San Jose Sharks
hockey game, including the gift of suite tickets and suite food and beverages collectively worth more
than the then-applicable gift limitation of \$500 from a single source.

DATED: 10-31-22

By:

Jury #
11

Redacted

FILED

NOV - 3 2022

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SANTA CLARA

CLERK OF THE COURT
BY Felicia Samoy DEPUTY

PEOPLE OF THE STATE OF CALIFORNIA,

Case No. 21-CV-391905

Plaintiff,

v.

VERDICT: COUNT FOUR

LAURIE SMITH,

Defendant.

COUNT FOUR

We, the jury in the above-entitled cause, hereby find the Defendant, Laurie Smith,
guilty (write either "guilty" or "not guilty") of committing willful
or corrupt misconduct in office on or about May 13, 2020 by violating the County of Santa Clara
Conflict of Interest Code and Government Code sections 87300 and 91000, to wit: failing to report on
her calendar-year 2019 Statement of Economic Interests (Form 700) her receipt of a gift from Harpreet
Chadha or Expert Quote, Inc. consisting of the use of Expert Quote Inc.'s suite at the SAP Center for a
February 14, 2019 San Jose Sharks hockey game, including the gift of suite tickets and suite food and
beverages.

DATED: 10-31-22

By:

JMM #
11

Redacted

FILED

NOV - 3 2022

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SANTA CLARA

Clerk of the Court
Superior Court of CA County of Santa Clara
DEPUTY
FORCES SANCIO

PEOPLE OF THE STATE OF CALIFORNIA,

Case No. 21-CV-391905

Plaintiff,

v.

VERDICT: COUNT FIVE

LAURIE SMITH,

Defendant.

COUNT FIVE

We, the jury in the above-entitled cause, hereby find the Defendant, Laurie Smith,
guilty (write either "guilty" or "not guilty") of committing willful
or corrupt misconduct in office on or about May 13, 2020 by committing perjury in violation of Penal
Code section 118, to wit: certifying under penalty of perjury in a case in which certification is permitted
by law of the State of California under penalty of perjury, to wit: her calendar-year 2019 Statement of
Economic Interests (Form 700) and willfully stating as true any material matter which she knows to be
false; to wit: that the information contained on said Form 700 and its attachments was true and
complete.

DATED: 10-31-22

By:

Juror #
11

Redacted

FILED

NOV - 3 2022

SUPERIOR COURT OF THE STATE OF CALIFORNIA

IN AND FOR THE COUNTY OF SANTA CLARA

County of Santa Clara
Felicja Santos DEPUTY

PEOPLE OF THE STATE OF CALIFORNIA,

Case No. 21-CV-391905

Plaintiff,

v.

VERDICT: COUNT SIX

LAURIE SMITH,

Defendant.

COUNT SIX

We, the jury in the above-entitled cause, hereby find the Defendant, Laurie Smith,
guilty (write either "guilty" or "not guilty") of committing willful
or corrupt misconduct in office on and between April 5, 2021 and November 30, 2021, both dates
inclusive, by violating County of Santa Clara Ordinance Code Title A, Division A20, section A20-64,
to wit: failing to cooperate and promptly supply information or records requested by the County of
Santa Clara Office of Correction and Law Enforcement Monitoring ("OCLEM") relating to the
Sheriff's Office's internal affairs investigation into an incident that occurred in August 2018 regarding
Mr. Andrew Hogan.

DATED:

11-2-22

By:

juror #
11

Redacted



**SUPERIOR COURT OF CALIFORNIA
COUNTY OF SANTA CLARA**
DOWNTOWN COURTHOUSE
191 NORTH FIRST STREET
SAN JOSE, CALIFORNIA 95113
CIVIL DIVISION

FILED

DEC 06 2022

Clerk of the Court
Superior Court of CA County of Santa Clara
BY Sylvia Theoharis DEPUTY

RE: **THE PEOPLE OF THE STATE OF CALIFORNIA vs LAURIE SMITH**
Case Number: **21CV391905**

PROOF OF SERVICE

JUDGMENT OF REMOVAL was delivered to the parties listed below the above entitled case as set forth in the sworn declaration below.

If you, a party represented by you, or a witness to be called on behalf of that party need an accommodation under the American with Disabilities Act, please contact the Court Administrator's office at (408) 882-2700, or use the Court's TDD line (408) 882-2690 or the Voice/TDD California Relay Service (800) 735-2922.

DECLARATION OF SERVICE BY MAIL: I declare that I served this notice by enclosing a true copy in a sealed envelope, addressed to each person whose name is shown below, and by depositing the envelope with postage fully prepaid, in the United States Mail at San Jose, CA on December 06, 2022. CLERK OF THE COURT, by Asimina Theoharis, Deputy.

cc: Allen J Ruby 15559 Union Avenue Suite 138 Los Gatos CA 95032
John Henry Hemann 450 Golden Gate Ave 11th Fl San Francisco CA 94102
Eleanor W Barczak Cooley LLP 3 Embarcadero Center 20th Floor San Francisco CA 94111
Charles J Smith 777 MARSHALL STREET REDWOOD CITY CA 94063
Kavita Kandala Narayan 70 W Hedding St East Wing 9th Floor San Jose CA 95110
Aryn Paige Harris County of Santa Clara 70 W Hedding St 9FL East Wing San Jose CA 95110-1770
Evan H Ackiron San Francisco District Attorney's Office 350 Rhode Island Street #400N San Francisco CA 94103