



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street, Suite 3000, Sacramento, CA 95811

June 13, 2023

James Coleman
Candidate, California State Assembly
Via email: james.coleman@ssf.net

Warning Letter re: FPPC No. 2023-00415, James Coleman

Dear James Coleman:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act¹ (the “Act”). This letter is in response to a referral from the Commission’s Statements of Economic Interests (“SEI”) Unit regarding your failure to timely file a Candidate SEI. The Enforcement Division found that as a result of your position as a Candidate for State Assembly in the June 7, 2022 Primary Election, the Act required you to file a Candidate SEI and you violated the Act by failing to file your Candidate SEI by the March 11, 2022 deadline.

Under the Act, a candidate for an office specified in Section 87200 is required to file a Candidate SEI disclosing their investments, business positions, interests in real property and income received during the previous 12 months.² The SEI must be filed no later than the deadline for the declaration of candidacy.³

You violated the Act by failing to file the Candidate SEI by March 11, 2022, the final filing date for the declaration of candidacy. However, since you were unsuccessful in the June 7, 2022 Primary Election, and you have no history of violating the Act, the Enforcement Division has decided to close your case with this warning letter rather than a fine. Although the Enforcement Division is closing this case without seeking a penalty, you are still required to file all outstanding SEIs and pay any late filing fees assessed by your filing officer. Please contact your filing officer, Cyndi Glaser, at cglaser@fppc.ca.gov for further information about your required filings.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Section 87201.

³ *Ibid.*

resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter. If you have questions, please contact Tara Stock at tstock@fppc.ca.gov.

Sincerely,

Christopher B. Burton

Christopher B. Burton, Acting Chief
Enforcement Division

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