



July 6, 2023

Fely Guzman



Warning Letter re: FPPC Case No. 2018-00128; Fely Guzman

Dear Fely Guzman:

The Enforcement Division of the Fair Political Practices Commission (“Commission”) enforces the provisions of the Political Reform Act (the “Act”).¹ This letter is in response to a referral from the County of Fresno Clerk of the Board. The Enforcement Division found that as a result of your position as a Board Member for the Fresno Regional Workforce Development Board, the Act required you to periodically file a Statement of Economic Interests (“SEI”) and that you failed to timely file your 2015, 2016, 2017, 2018, 2019, 2020, 2021 Annual SEIs and your Leaving Office SEI.

Section 87300 of the Act requires every state and local agency to develop a Conflict of Interest Code. This Code requires individuals who participate in making decisions which may foreseeably have a material financial effect on any financial interest belonging to that person to disclose all reportable interests on SEIs.² The Fresno Regional Workforce Development Board’s Conflict of Interest Code designates Board Member as a position which manages public investments, and as such requires SEIs to be filed pursuant to Section 87200.³

Section 87200 of the Act requires certain public officials to disclose reportable interests. Every person enumerated within Section 87200 must file an SEI within 30 days of assuming office, annually thereafter at the time specified by Commission regulations, and within 30 days of leaving office.⁴

You violated the Act by failing to file your 2015, 2016, 2017, 2018, 2019, 2020, 2021 Annual SEIs and your Leaving Office SEI by the specified deadlines.⁵ However, you are no longer in this position and you do not have a prior history of violating the Act. Based on the foregoing, and in accordance with the Enforcement Division Policy Directives formally adopted by the Commission

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Section 87302, subd. (b).

³ Conflict of Interest Code for Fresno Regional Workforce Development Board.

⁴ Sections 87202, 87203, and 87204.

⁵ An administrative action for a violation of the Act has a five-year statute of limitations. In this matter, a probable cause report was served on Respondent on or around December 24, 2020 and August 13, 2022, effectively tolling the relevant statute of limitations. (See Sections 91000.5 and 83115.5.)

on January 26, 2023, which requires the Enforcement Division to take all appropriate actions within their discretion to decrease the Division's annual carryover caseload, the Enforcement Division is closing this case with this warning letter.⁶ Discretion was used based upon mitigating or aggravating circumstances and the totality of the circumstances.⁷ This resolution may not be used as a comparable case for other enforcement matters.⁸

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

Although the Enforcement Division is closing this case without seeking a penalty, you are still required to file all outstanding SEIs. Please contact your filing officer for further information. Any future non-filings may result in monetary penalties and this warning letter may be considered in any future Commission enforcement actions.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

Please feel free to contact me at JRinehart@fppc.ca.gov or (916) 323-6302 (voicemail) with any questions you may have regarding this letter.

Sincerely,

Jenna Rinehart

Jenna C. Rinehart,
Commission Counsel
Enforcement Division

cc: County of Fresno Clerk of the Board khancock@fresnocountyca.gov

⁶ <https://www.fppc.ca.gov/content/dam/fppc/NS-Documents/AgendaDocuments/General%20Items/2023/january/15.0-Enforcement-directives.pdf>

⁷ Regulations 18360.1 and 18360.3.

⁸ See Regulation 18361.5, subd. (e)(3).