



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

March 6, 2024

Mark S. Rosen, Attorney at Law
o/b/o Van Tran for Supervisor 2024, Van Tran and Lysa Ray
Via email: markrosen@markrosenlaw.com

Warning Letter Re: FPPC No. 2024-00202, Van Tran for Supervisor 2024, Van Tran, Lysa Ray

Dear Van Tran, Committee, and Lysa Ray:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the “Act”).¹ This letter is sent in response to a complaint received alleging campaign reporting inaccuracies.

The Act requires each campaign statement to include a person’s full name, street address, occupation, name of employer or business, date and amount received, and the cumulative amount of contributions if the person contributed \$100 or more.² Furthermore, if an individual directs or controls an entity’s contributions, the entity’s contributions shall be aggregated with contributions with that individual.³

After our review, we determined that the Committee failed to include 15 contributors’ occupation and employer information. Furthermore, the Committee failed to properly aggregate contributions from four individuals and their respective business entities.

However, mitigating circumstances exist here: you cooperated with the Orange County Campaign Finance and Ethics Commission to correct all of the improper campaign reporting issues prior to Enforcement contact, and the amendments were filed before the election.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Section 84211, subd. (f).

³ Section 82015.5, subd. (b).

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

Please feel free to contact me at 279-237-3752 or arose@fppc.ca.gov with any questions you may have regarding this letter.

Sincerely,

Alex Rose

Alex J. Rose
Senior Commission Counsel,
Enforcement Division