



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

March 20, 2024

Mark Baza
1503 N. Imperial Ave., Ste. 104
El Centro, CA. 92243



Re: Warning Letter: FPPC No. 2023-00144, Mark Baza

Dear Mark Baza:

The Enforcement Division of the Fair Political Practices Commission (“FPPC”) enforces the provisions of the Political Reform Act (the “Act”).¹ This letter is in response to a referral from the FPPC’s Statements of Economic Interests (“SEI”) Unit. The Enforcement Division found that because of your position as a Member of the Board of Directors with the Public Entity Risk Management Authority (“PERMA”), the Act required you to periodically file a SEI and that you failed to timely file your 2020 Annual SEI and your Leaving Office SEI.

Section 87200 of the Act requires certain public officials to disclose reportable interests in their investments, real property, and income received. Every person enumerated within Section 87200 must file an SEI within 30 days of assuming office², annually thereafter at the time specified by Commission regulations³, and within 30 days of leaving office.⁴ Failure to comply with the disclosure requirements is a violation of the Act.⁵

Your actions violated the Act by failing to file your 2020 Annual SEI and your Leaving Office SEI by the specified deadlines. However, since you are no longer in this position, and do not have a history of violating the Act, we are closing your case with this warning letter rather than a fine.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Sections 87200 and 87202.

³ Sections 87200 and 87203; Regulation 18723, subd. (b)(2).

⁴ Regulation 18116, subd. (a).

⁵ Section 87200.

Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you have any questions regarding this letter, please contact me at (279)237-5978, or via e-mail at cbernabe@fppc.ca.gov.

Sincerely,

Cinthy Bernabé

Cinthy Bernabé
Commission Counsel
Enforcement Division