



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

October 30, 2023

Monica Daugherty
550 High Street, #201
Auburn, CA 95603



Warning Letter: FPPC No. 2022-00414; Monica Daugherty

Dear Monica Daugherty,

The Enforcement Division of the Fair Political Practices Commission ("FPPC") enforces the provisions of the Political Reform Act (the "Act").¹ This letter is in response to a referral from the FPPC's Statement of Economic Interests ("SEI") Unit. The Enforcement Division found that as a result of your position as Member of the Joint Powers Board for Schools Risk and Insurance Management Group, the Act required you to periodically file a SEI and that you failed to timely disclose certain reportable interests on your Assuming Office SEI.

Under Section 87206 of the Act, you are required to report your investment interests on your SEI. This disclosure also requires a statement of the nature of the investment and a statement of the fair market value of the investment.

You violated the Act by failing to timely disclose your interests in four investments on your Assuming Office SEI. However, you have no prior Enforcement history, you are no longer in the position, and you filed an amendment to disclose the interests prior to receiving contact from the Enforcement Division. Therefore, the Enforcement Division has decided to close your case with this warning letter rather than a fine.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

Although the Enforcement Division is closing this case without seeking a penalty, you are still required to pay any late filing fees assessed by your filing officer. Please contact your filing officer, Cyndi Glaser, at cglaser@fppc.ca.gov for further information about your required filings. Any future non-filings may result in monetary penalties and this warning letter may be considered in any future enforcement actions.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you have any questions regarding this letter, please contact Chance Felkins at 916-327-2016 or cfelkins@fppc.ca.gov.

Sincerely,

Michael Chance Felkins

Michael Chance Felkins, Commission Counsel
Enforcement Division

cc: FPPC's SEI Unit cglaser@fppc.ca.gov