



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

July 16, 2024

Sean Thakkar, [REDACTED]

Warning Letter Re: FPPC No. 2024-00300, Sean Thakkar

Dear Sean Thakkar:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the “Act”).¹ This letter is sent in response to a referral from the FPPC Statement of Economic Interests (“SEI”) Unit alleging you failed to timely file your 2021 and 2022 Annual SEIs as a Board Member of the Bay Area Regional Interoperable Communications Systems Authority (“BayRICS”).

The Act requires that that within 30 days of leaving office, every person must file a leaving office SEI disclosing the person’s investments, interests in real property, and income during the period since the previous statement was filed.²

Upon review, the Enforcement Division determined that you did not hold the position of Board Member of BayRICS. Rather, you held the position of Alternate Board Member of BayRICS through March 4, 2021. The Enforcement Division determined that you timely filed Annual SEIs as an Alternate Board Member. The Enforcement Division also determined you violated the Act by failing to timely file your leaving office SEI within 30 days of leaving your position as an Alternate Member of the Board of BayRICS. However, mitigating circumstances exist here: you were never a Board Member of BayRICS and left your position as an Alternate Board Member in March 2021, all previous SEI filings were timely filed, and you have no prior Enforcement history.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Section 87204.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

Please feel free to contact me at 279-237-3752 or arose@fppc.ca.gov with any questions you may have regarding this letter.

Sincerely,

A handwritten signature in black ink that reads "Alex J. Rose". The signature is written in a cursive, flowing style.

Alex J. Rose
Senior Commission Counsel,
Enforcement Division