



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

June 4, 2024

William Baber, NCPForjobsandchoice@gmail.com and wrblaw@flash.net
o/b/o No on J, National City Puppy for Jobs and Freedom of Choice

Warning Letter Re: FPPC No. 2020-00226, No on J, National City Puppy for Jobs and Freedom of Choice, William Baber

Dear Committee and William Baber:

The Enforcement Division of the Fair Political Practices Commission enforces the provisions of the Political Reform Act (the "Act").¹ This letter is sent in response to a referral from the City Clerk of National City alleging that you failed to timely report \$27,020 in non-monetary contributions from National City Puppy, LLC on the Committee's semi-annual campaign statement for the reporting period ending on December 31, 2019.

The Act requires that if the cumulative amount of contributions received from a person is one hundred dollars (\$100) or more and a contribution has been received from that person during the period covered by the campaign statement, then the campaign statement must include that persons' full name, street address, occupation, date and amount received, and the cumulative amount of contributions.²

Upon review, the Enforcement Division determined that you violated the Act by failing to timely report two non-monetary contributions from National City Puppy, LLC on September 12, 2019 and October 29, 2019, totaling \$27,020 for signature gathering in support of Measure J. However, mitigating circumstances exist here: the sponsor of the Committee, National City Puppy, is now out of business, the Committee appears to have disclosed all of its activity after it filed its Statement of Organization in December 2019, the Committee terminated in July 2020, the Committee's position lost, and the Committee has no prior history of violating the Act.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Section 84211, subd. (f).

information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulation 18360.1 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

Please feel free to contact me at 279-237-3752 or arose@fppc.ca.gov with any questions you may have regarding this letter.

Sincerely,

A handwritten signature in black ink that reads "Alex J. Rose". The signature is written in a cursive, flowing style.

Alex J. Rose
Senior Commission Counsel,
Enforcement Division