



STATE OF CALIFORNIA  
FAIR POLITICAL PRACTICES COMMISSION  
1102 Q Street • Suite 3050 • Sacramento, CA 95811

October 31, 2024

Catherine Dao

Via email: [REDACTED]

**No Action Closure Letter re: FPPC No. 2024-01146; Catherine Dao**

Dear Catherine Dao:

The Enforcement Division of the Fair Political Practices Commission (“Commission”) enforces the provisions of the Political Reform Act (the “Act”).<sup>1</sup> This letter is in response to a referral from the County of Santa Clara alleging that you failed to timely file your Assuming Office, 2019 Annual, 2020 Annual, and Leaving Office Statements of Economic Interests (“SEIs”) for your position as a Physician for the Santa Clara Valley Health and Hospital System.

The Enforcement Division has since learned that you worked only a few weekend shifts per year in this position and rarely had access to your agency email address, which is where the notifications from the County regarding your requirement to file SEIs were sent. Additionally, you have since filed the relevant SEIs. For these reasons, we are closing this matter without further action. Although the Enforcement Division is closing this case without seeking a penalty, you are still required to pay any late filing fees assessed by your filing officer, the County of Santa Clara. If you have any questions regarding this letter, please contact Amber Rodriguez at [arodriguez@fppc.ca.gov](mailto:arodriguez@fppc.ca.gov) or (279) 237-3756.

Sincerely,

*Christopher B. Burton*

Christopher B. Burton, Assistant Chief  
Enforcement Division

CBB:ar

cc: County of Santa Clara  
[form700@cob.sccgov.org](mailto:form700@cob.sccgov.org)

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<sup>1</sup> The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.