



STATE OF CALIFORNIA
FAIR POLITICAL PRACTICES COMMISSION
1102 Q Street • Suite 3050 • Sacramento, CA 95811

January 16, 2026

Maximilian Cao

Via email: [REDACTED]

Warning Letter re FPPC No. 2026-00013; Maximilian Cao

Dear Maximilian Cao:

The Enforcement Division of the Fair Political Practices Commission (“FPPC”) enforces the provisions of the Political Reform Act (the “Act”).¹ This letter is in response to a sworn complaint alleging that you failed to timely file your Assuming Office Statement of Economic Interests (“SEI”) and several Annual SEIs. The Enforcement Division found that as a result of your position as a Commissioner of the Arts Commission for the City of Stockton, the Act required you to periodically file an SEI, and that you failed to timely file your Assuming Office SEI and Annual SEIs for the calendar years of 2021, 2022, 2023, and 2024.

Section 87300 of the Act requires every state and local agency to develop a Conflict of Interest Code. This Code requires individuals who participate in making decisions which may foreseeably have a material financial effect on any financial interest belonging to that person to disclose all reportable interests on SEIs.² Individuals required to file SEIs must file within 30 days of assuming office, annually thereafter at the time specified by the Code, and within 30 days of leaving office.³

You violated the Act by failing to file your Assuming Office SEI, 2021 Annual SEI, 2022 Annual SEI, 2023 Annual SEI, and 2024 Annual SEI by the specified deadlines. However, since you are no longer in this position and have no prior Enforcement history, the Enforcement Division has decided to close your case with this warning letter rather than a fine.

This letter serves as a written warning. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

Although the Enforcement Division is closing this case without seeking a penalty, you are still required to file all outstanding SEIs and pay any late filing fees assessed by your filing officer.

¹ The Political Reform Act is contained in Government Code sections 81000 through 91014, and all statutory references are to this code. The regulations of the Fair Political Practices Commission are contained in Sections 18104 through 18998 of Title 2 of the California Code of Regulations, and all regulatory references are to this source.

² Section 87302, subd. (b).

³ *Ibid.*

Please contact your filing officer, Katherine Roland, at City.Clerk@stocktonca.gov for further information about your required filings. Please note that any future non-filings will be brought to our attention and may result in monetary penalties. This warning letter may be considered should a future enforcement action become necessary.

A warning letter is an Enforcement Division case resolution without administrative prosecution or fine. The Commission has adopted Regulations 18360.1 and 18360.2 to authorize the Enforcement Division to issue warning letters to conclude cases in specified circumstances. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the Enforcement Division will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the Commission's website ten (10) days from the date of this letter.

If you have any questions regarding this letter, please contact Franceska Gonzalez at fgonzalez@fppc.ca.gov or (279) 237-5993.

Sincerely,

Franceska Gonzalez

Franceska Gonzalez, Attorney
Enforcement Division

cc: Mary Elizabeth, sworn complainant